

Stuart, C. A.

Bifurcation from the essential spectrum. (English) Zbl 0888.47045

Matzeu, Michele (ed.) et al., Topological nonlinear analysis II: degree, singularity and variations. Papers presented in part at the 2nd topological analysis workshop on degree, singularity and variations: developments of the last 25 years, Frascati, Italy, June 1995. Boston, MA: Birkhäuser. Prog. Nonlinear Differ. Equ. Appl. 27, 397-443 (1997).

A survey of some of the results obtained during the past twenty years concerning bifurcation from a point of the essential spectrum of the linearization of a nonlinear equation is given. First, bifurcation problems

$$Au - N(u) = \lambda Lu \tag{1}$$

are considered where A, L are bounded linear selfadjoint operators in a Hilbert space, L is positive, $N = \nabla\varphi$, φ is a differentiable functional, $\lim_{|u|\rightarrow 0} \frac{\varphi(u)}{|u|^2} = 0$. General basic properties and relations of the discrete and essential spectrum to bifurcation points are recalled. Eigenvalue problems of the type

$$Su + R(u) = \lambda u$$

with an unbounded selfadjoint operator S in a real Hilbert space and a nonlinear perturbation R are simultaneously studied. It is shown how such problems can be cast in the form (1) under certain assumptions. Two types of results concerning bifurcation from the infimum Λ of the spectrum are explained. First, under certain assumptions, for any $\lambda < \Lambda$, a nontrivial u_λ satisfying (1) is found as a critical point of the functional $F(\lambda, u) = J(u) + \frac{1}{2}\lambda(Lu, u)$ where $J(u) = \frac{1}{2}(Au, u) - \varphi(u)$ and it is proved that $|u_\lambda| \rightarrow 0$ for $\lambda \rightarrow \Lambda$. Second, for any $r > 0$, a couple λ_r, u_r is found such that u_r is a stationary point of J subject to the constraint $(Lu, u) = r$, λ_r is a Lagrange multiplier. Further, general situations are described when there is a maximal interval (a, b) lying in the resolvent set of A, L and b is a bifurcation point. Moreover, there is a bifurcation to the left at b (bifurcation into spectral gaps). In some cases, also the order of the bifurcation is described. The last section is devoted to the problem

$$-\Delta u(x) + V(x)u(x) - r(x)|u(x)|^{p-2}u(x) = \lambda u(x) \text{ for } x \in \mathbf{R}^N$$

where $V \in \mathbf{L}^\infty(\mathbf{R}^N)$, $r \in \mathbf{L}^\infty(\mathbf{R}^N)$, $r \geq 0$ and $p < 2$ or $2 < p < \frac{2N}{N-2}$ in the case $N = 1, 2$ or $N \geq 3$, respectively. Known results about this problem are summarized. Particularly, general theory from previous sections is applied, i.e. bifurcations obtained by fixed λ approach, fixed norm approach and bifurcations into spectral gaps are described in various cases.

For the entire collection see [\[Zbl 0866.00047\]](#).

Reviewer: [M.Kučera \(Praha\)](#)

MSC:

- [47J10](#) Nonlinear spectral theory, nonlinear eigenvalue problems
- [58C30](#) Fixed-point theorems on manifolds
- [47A10](#) Spectrum, resolvent
- [58E07](#) Variational problems in abstract bifurcation theory in infinite-dimensional spaces
- [35B32](#) Bifurcations in context of PDEs

Cited in **1** Review
Cited in **9** Documents

Keywords:

[bifurcation](#); [essential spectrum](#); [infimum of the spectrum](#); [spectral gap](#); [semilinear elliptic equation](#); [unbounded selfadjoint operator](#); [nonlinear perturbation](#); [Lagrange multiplier](#)