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Subexponential decay of correlations. (English) Zbl 1042.37005
Invent. Math. 150, No. 3, 629-653 (2002).

Let (X, \mathcal{B}, m, T) be a dynamical system, and denote by \widehat{T} the transfer operator (Perron-Frobenius operator) associated with the dynamical system. The first assertion is the following renewal equation.

Proposition 1. Let the dynamical system be conservative and nonsingular. Assume that $A \in \mathcal{B}$ has finite positive measure. Let $T_n f = 1_A \widehat{T}^n(f 1_A)$ and $R_n f = 1_A \widehat{T}^n(f 1_{[\phi_A=n]})$, where $\phi_A(x)$ is the first return time to A for $x \in A$. Then for any $|z| < 1$

$$T(z) = (I - R(z))^{-1}, \quad R(z) = \sum_{n=1}^{\infty} a^n R_n, \quad T(z) = \sum_{n=0}^{\infty} z^n T_n.$$

The main result of this article is:

Theorem 1. Let T_n be bounded linear operators on a Banach space \mathcal{L} such that $T(z) = \sum_{n=0}^{\infty} z^n T_n$ converges in $\text{Hom}(\mathcal{L}, \mathcal{L})$ for every $|z| < 1$. Assume the

Renewal equation: for every $|z| < 1$, $T(z) = (I - R(z))^{-1}$ where $R(z) = \sum_{n \geq 1} z^n R_n$, $R_n \in \text{Hom}(\mathcal{L}, \mathcal{L})$ and $\sum \|R_n\| < \infty$.

Spectral gap: the spectrum of $R(1)$ consists of an isolated simple eigenvalue at 1 and a compact subset in $|z| < 1$.

Aperiodicity: the spectral radius of $R(z)$ is strictly less than one for all $|z| \leq 1$ and $z \neq 1$.

Let P be the eigenprojection of $R(1)$ at 1.

If $\sum_{k > n} \|R_k\| = O(1/n^\beta)$ for some $\beta > 2$ and $PR'(1) \neq 0$, then for all n

$$T_n = \frac{1}{\mu} P + \frac{1}{\mu^2} \sum_{k=n+1}^{\infty} P_k + E_n$$

where μ is given by $PR'(1)P = \mu P$, $P_n = \sum_{l > n} PR_l P$, and $E_n \in \text{Hom}(\mathcal{L}, \mathcal{L})$ satisfy $\|E_n\| = O(1/n^{[\beta]})$.

Theorem 2 gives lower bounds for the correlation functions s :

For a Markov partition α , let $[a, \dots, a_{n-1}]$ be the cylinder generated by $a_1, \dots, a_{n-1} \in \alpha$. Let

$$v_n(\phi) = \sup\{|\phi(x) - \phi(y)| : x, y \in [a_0, \dots, a_{n-1}], a_i \in \alpha\}.$$

The dynamical system is called (T, α) -summable if $\sum_{n \geq 2} v_n(\phi) < \infty$, and (T, α) -locally Hölder continuous if there exists $A > 0$, $\theta \in (0, 1)$ such that $v_n(\phi) < A\theta^n$ for all n . Let T_a be an induced transformation on $a \in \alpha$, and the Markov partition induced on a is denoted by α_a . Moreover

$$g_m = \frac{dm}{dm \circ T},$$

$$s(x, y) = \sup\{n \geq 0 : x, y \in [b_0, \dots, b_{n-1}], b_i \in \alpha_a\},$$

$$D_a f = \sup |f(x) - f(y)| / \theta^{s(x, y)}.$$

Define

$$\|f\|_{\mathcal{L}} = \|f\|_{\infty} + D_a f.$$

Then Theorem 2. For a measure-preserving, irreducible Markov dynamical system, assume that $\log g_{m_a}$ has (T_a, α_a) -locally Hölder continuous version for some $a \in \alpha$. If g.c.d. $\{\phi_a(x) - \phi - a(y) : x, y \in \cup \alpha_a\} = 1$ and $m[\phi_a > n] = O(1/n^\beta)$ where $\beta > 2$, then there exists $\theta \in (0, 1)$ $C > 0$ such that for any f, g integrable

supported inside $[a]$,

$$\left| \text{Cor}(f, g \circ T^n) - \left(\sum_{k=n+1}^{\infty} m[\phi_a > k] \right) \int f \int g \right| \leq C n^{-[\beta]} \|g\|_{\infty} \|f\|_{\mathcal{L}}.$$

Two examples are given and correlations of them are calculated. One is an extension of the Mannville-Pomeau map, and the other are LS Young towers.

Reviewer: [Makoto Mori \(Tokyo\)](#)

MSC:

- [37A30](#) Ergodic theorems, spectral theory, Markov operators
- [28D05](#) Measure-preserving transformations
- [47A35](#) Ergodic theory of linear operators
- [37C30](#) Functional analytic techniques in dynamical systems; zeta functions, (Ruelle-Frobenius) transfer operators, etc.

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[transfer operator](#); [renewal equation](#); [measure-preserving irreducible Markov dynamical system](#)

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